

CTLIMM.001CP2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Kundig et al.) Group Art Unit 3761
)
App. No.	:	09/776,232)
)
Filed	:	February 02, 2001)
)
For	:	METHOD OF INDUCING A CTL RESPONSE)
)
Examiner	:	Hayes, Michael J.)

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing four (4) documents that are also enclosed. The documents include three of four references cited in an International Search Report (ISR) received in a counterpart foreign application. The fourth reference cited in the ISR was previously submitted in an Information Disclosure Statement filed in the present case on May 3, 2001.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3).

Alternatively, if a first Office Action on the merits was mailed before the mailing date of this Statement, this Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.1113 and before the mailing date of a Notice of Allowance under

Appl. No. : 09/776,232
Filed : February 02, 2001

§ 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 3/4/03

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SHEET 1 OF 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CTLIMM.001CP2	APPLICATION NO. 08/776,232
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Kundig et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE February 2, 2001	GROUP 3781

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**EXAMINER
INITIAL**

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

2. Kundig, T.M., "A Method to increase the immunogenicity of vaccines," *Schweiz. Rundsch. Med. Prax.*, 89(37):1477-1484 (September 2000) (German with English Summary).
 3. Schott, M. et al., "Induction of cellular immunity in a parathyroid carcinoma treated with tumor lysate-pulsed dendritic cells," *Eur. J. Endocrinology*, 142(3):303-306 (March 2000).
 4. International Search Report from PCT/US 02/02033

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EXAMINER _____ **DATE CONSIDERED** _____
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT
IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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